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US  
PTOUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	12053
First Inventor	WILLIAM HIATT
Title	SYSTEM AND METHOD FOR THE TREATMENT OF SOOT-LADEN WATER
Express Mail Label No.	EL 900586157 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages (15)]  
(preferred arrangement set forth below)  
Descriptive title of the invention  
Cross reference to related applications  
Statement regarding Fed sponsored R & D  
Reference to sequence listing, a table, or a  
Computer program listing appendix  
Background of the Invention  
Brief Summary of the invention  
Brief Description of the Drawings  
Detailed description  
Claim(s)
- Drawing(s) (35 USC 113) [Total Sheets (1 )]
- Oath or Declaration [Total Pages (0)]  
a.  Newly executed (original copy)  
b.  Copy from a prior application (37 CFR 1.63)  
(d) (for continuation/divisional with Box 18 completed)  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data sheet under 37 CFR 76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application no.: \_\_\_\_\_ /  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label Correspondence address below

Name

28484

PATENT TRADEMARK OFFICE

Address

State

Zip Code

City

Telephone

Fax

Country

Name (Print/Type)

FERNANDO A. BORREGO

Registration No. (Attorney/Agent)

34,780

Signature

Date

Oct. 19, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMISSION  
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 758.00)

Under the Small Firm Reduction Act of 1995, no persons are required to respond to a call for information.

Complete if Known

Application Number	N/A		
Filing Date	Herewith		
First Named Inventor	WILLIAM HIATT		
Examiner Name	N/A		
Group / Art Unit	N/A		
Attorney Docket No.	12053		

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.

23-3425

Deposit Account Name

BASF CORPORATION

Charge Any Additional Fee Required

Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.

See 37 CFR 127

2.  Payment Enclosed:

 Check Money Order Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	710	201	355
			Utility filing fee
			\$740.00
102	320	206	160
			Design filing fee
103	490	207	245
			Plant filing fee
108	710	208	355
			Reissue filing fee
110	150	214	75
			Provisional filing fee
			SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	21	-20**= 1	X \$18 = 18
Indep. Claims	2	-3**= 0	X \$84 = 0
Multiple Dependent			280 = 0

\*or number previously paid, if greater; For Reissues, see below

## Large Entity

## Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
106	84	208	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) (\$18.00)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
			Surcharge - late filing fee or oath
127	50	227	25
			Surcharge - late provisional filing fee or cover sheet.
139	130	139	130
			Non-English specification
147	2,520	147	2,520
			For filing a request for reexamination
112	920*	112	920*
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
			Extension for reply within first month
116	400	216	200
			Extension for reply within second month
117	920	217	460
			Extension for reply within third month
118	1,440	218	720
			Extension for reply within fourth month
128	1,960	228	980
			Extension for reply within fifth month
119	320	219	160
			Notice of Appeal
120	320	220	160
			Filing a brief in support of an appeal
121	280	221	140
			Request for oral hearing
138	1,510	138	1,510
			Petition to institute a public use proceeding
140	110	240	55
			Petition to revive - unavoidable
141	1,280	241	640
			Petition to revive - unintentional
142	1,240	242	620
			Utility issue fee (or reissue)
143	460	243	230
			Design issue fee
144	620	244	310
			Plant issue fee
122	130	122	130
			Petitions to the Commissioner
123	50	123	50
			Petitions related to provisional application
126	180	126	180
			Submission of Information Disclosure Stmt
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	740	246	370
			Filing a submission after final rejection (37 CFR 1.129(b))
149	740	249	370
			For each additional invention to be examined (37 CFR 1.129(b))
			Other fee (specify)
			Other fee (specify)
			*Reduced by Basic Filing Fee Paid
			SUBTOTAL (3) (\$ 0.00)

Typed or Printed Name	FERNANDO A. BORREGO	Registration No. (Attorney/Agent)	34,780	Telephone	(734) 324-6193
Signature				Date	